

INTERNAL SYSTEMS FOR REPORTING VIOLATIONS – WHISTLEBLOWING

Annual report on the proper functioning of the adopted systems for the year 2021

The internal systems for reporting violations (Whistleblowing) have been implemented starting from **October 2018**. The system allows the Bank's employees to report, using an e-mail **with absolute confidentiality**, facts or behaviors that may constitute an infringement of the rules governing banking activity or any other irregular conduct.

That reporting system is compliant with the provisions of the Parent Company, which provides for two communication channels: the main one is managed by the Internal Auditing Department, the second is addressed directly to the Board of Directors.

In this regard, specific internal regulations have been issued and communication has been given to employees with regard to access to the reporting channels.

During the year 2021, no reports were received and/or cataloged.

In each occasion, each report will be handled with full respect of confidentiality, to guarantee the protection of reporters and to avoid retaliation or discriminatory and unfair actions. Each reporter will receive a specific notification regarding taking charge and closing her/his own report.

Whistleblowing integrates already existing reporting processes and also represents the opportunity both to spread the ethical values that characterize the people of Intesa Sanpaolo Group and to strengthen the culture of legality, thus improving the entire company context.

Internal Audit Department